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PATENT  
IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Y.S. Or, et al.

SERIAL NO.: 08/888,350

FILED: July 3, 1997

FOR: 6-O-SUBSTITUTED KETOLIDES  
HAVING ANTIBACTERIAL  
ACTIVITY

CASE NO.: 5976.US.P1

GROUP ART UNIT: 1205

DATE: November 12, 1997

] I hereby certify that this paper (along  
] with any paper referred to as being  
] attached or enclosed) is being  
] deposited with the United States Postal  
] Service on the date shown below with  
] sufficient postage as first class mail in  
] an envelope addressed to the:

] Box Missing Parts  
] Assistant Commissioner for Patents  
] Washington, D.C. 20231

] Date of Deposit: November 12, 1997

] Mercedes E. Aguilar 11/12/97  
] Mercedes E. Aguilar Date

TRANSMITTAL LETTER

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is the Response to Notice of Missing parts of Y.S. Or, et al., for 6-O-SUBSTITUTED KETOLIDES HAVING ANTIBACTERIAL ACTIVITY, the specification of which was filed on July 3, 1997 and received Serial No. 08/888,350.

Also enclosed are: Declaration and Power of Attorney (Executed)  
Copy of Notice to File Missing Parts of Application  
Filing Date Granted  
Return-Receipt Postcard

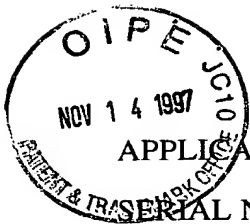
The Commissioner is hereby authorized to charge any additional filing fees required under 37 C.F.R. 1.17 concerning this transaction, or to credit any overpayment to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Abbott Laboratories  
Dept. 377/AP6D-2  
100 Abbott Park Road  
Abbott Park, Illinois 60064-3500  
Tel. No. (847) 937-4559  
Fax No. (847) 938-2623

Respectfully submitted,

Y.S. Or, et al.

Mona Anand  
Mona Anand  
Registration No. 34,537  
Attorney for Applicants



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

PATENT

APPLICANT: Y.S. Or, et al.

SERIAL NO.: 08/888,350

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Date of Deposit: November 12, 1997

Mercedes E. Aguilar 11/12/97  
Mercedes E. Aguilar Date

**RESPONSE TO NOTICE OF MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice to File Missing Parts of Application - Filing Date Granted, enclosed herewith is the Declaration and Power of Attorney (executed) of Y.S. Or, et al., for 6-O-SUBSTITUTED KETOLIDES HAVING ANTIBACTERIAL ACTIVITY, the specification of which was filed on July 3, 1997 and received Serial No. 08/888,350.

The Commissioner is hereby authorized to charge \$130.00, and any additional Filing Fees required under 37 C.F.R. 1.16, as well as any patent application processing fees under 37 C.F.R. 1.17 associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

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Dept. 377/AP6D-2  
100 Abbott Park Road  
Abbott Park, Illinois 60064-3500  
Tel. No. (847) 937-4559  
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Respectfully submitted,

Y.S. Or, et al.

Mona Anand  
Mona Anand  
Registration No. 34,537  
Attorney for Applicants



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/888,350	07/03/97	OR	Y 5976.US.P1

0292/1030

STEVEN F WEINSTOCK  
ABBOTT LABORATORIES  
D 377 AP6D  
100 ABBOTT PARK ROAD  
ABBOTT PARK IL 60064-3500

NOT ASSIGNED

DATE MAILED: 1205

10/30/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

12/10/1997 DBERCH 00000031 DRAH:010025 0888350  
01 FC:105 130.00 CH